# PhD in Intelligenza Artificiale in medicina e innovazione nella ricerca clinica e metodologica

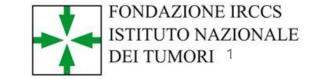
Coordinatore: Prof. Domenico Russo

Identifying of novel gene expression signatures in Acute Myeloid Leukemia (AML) patients: comparison of different methodological approaches

Dottorandi XXXIX ciclo
Adriana Blanda

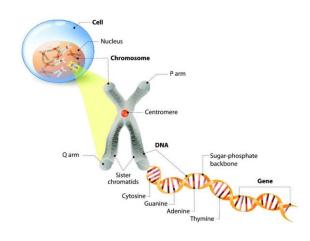
Supervisor: Prof. Russo Domenico





## **OUTLINE:**

- I. Background
- II. Aims
- III. Methods
- IV. Results
- V. Next Steps



## Background

Acute myeloid leukaemia (AML) is a type of blood cancer. AML starts from the fast and uncontrolled growth of early myeloid blood cells in the bone marrow. The bone marrow is the soft inner part of the bones, where new blood cells are made.

AML is a biologically and clinically heterogeneous clonal disorder of hematopoietic progenitor cells, driven by a complex interplay of **cytogenetic** and molecular aberrations.

Risk stratification in AML is primarily based on cytogenetic abnormalities and recurrent gene mutations, as outlined by **ELN** and **WHO** classifications. These genetic lesions influence leukemogenesis, treatment response, and overall prognosis.

Emerging evidence suggests that the combinatorial effects of specific gene mutations—such as those in NPM1, FLT3, DNMT3A, and others—can modulate disease behavior and therapeutic sensitivity.

Integrative genomic profiling holds the potential to refine prognostic models and identify molecular signatures associated with improved survival outcomes, paving

## Background

As of 2025, the estimated 5-year survival rate for AML is approximately **29.8% to 32%** 



For individuals *under 60 years* of age, the 5-year survival rate ranges from **30% to 40**%

For patients over 60, the 5-year survival rate decreases to less than 20%

In paediatric cases, particularly those *under 15 years old*, survival rates can be significantly higher, reaching up to **67**%

## II. Aims

- 1. To identify and validate one or more gene signatures related to outcome in terms of survival. (Survival Cox Regression)
  - 2. To identify and validate one or more gene signatures **related to the cytogenetic risk**. (Logistic Regression)
- 3. To characterize and compare the above identify signatures especially in terms of involved biological pathways by gene ontology and enrichment analysis.

#### **DISCOVERY - TRAINING**

**Gene Expression Omnibus** (GEO) is a public repository maintained by the National Center for Biotechnology Information (NCBI), specifically designed to store and provide open access to gene expression, transcriptomic, and other genomic data.

Dataset: GSE6891\*

**#pts:** 457[15-60y]

**#genes:** 20888

# event: 290 dead

Median FU: 205 [IQR: 45-272]

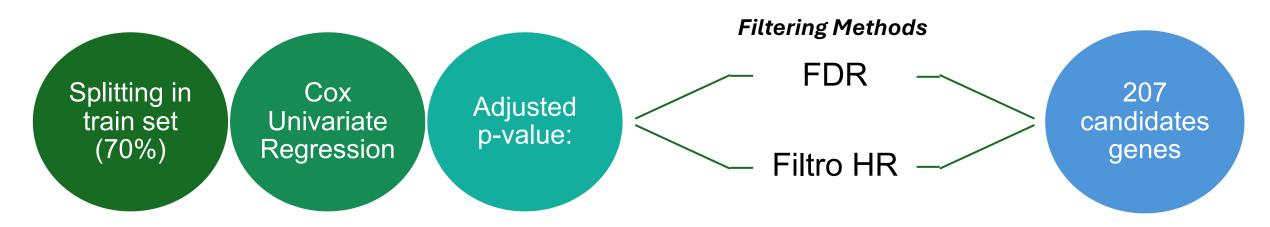
\*Verhaak RG, Wouters BJ, Erpelinck CA, Abbas S, Beverloo HB, Lugthart S, Löwenberg B, Delwel R, Valk PJ. Prediction of molecular subtypes in acute myeloid leukemia based on gene expression profiling. Haematologica. 2009 Jan;94(1):131-4. doi: 10.3324/haematol.13299. Epub 2008 Oct 6. PMID: 18838472; PMCID: PMC2625407.

DISCOVERY DATASET GSE6891

| Clinic variables      | N      | %    |
|-----------------------|--------|------|
| Gender                |        |      |
| F                     | 228    | 50%  |
| М                     | 229    | 50%  |
| Age,median[Q1-<br>Q3] | 43[33- | -53] |
| Sco                   | ore    |      |
| FABM0                 | 16     | 4%   |
| FABM1                 | 94     | 21%  |
| FABM2                 | 104    | 23%  |
| FABM3                 | 24     | 5%   |
| FABM4                 | 79     | 17%  |
| FABM4E                | 5      | 1%   |
| FABM5                 | 103    | 23%  |
| FABM6                 | 6      | 1%   |
| FABMX                 | 1      | 0%   |
| FABUNK                | 8      | 2%   |
| RAEB                  | 4      | 1%   |
| RAEB-T                | 13     | 3%   |
| Risk                  |        |      |
| good                  | 97     | 21%  |
| intermediate          | 259    | 57%  |
| poor                  | 91     | 20%  |
| unknown               | 10     | 2%   |

| Muta     | tion |     |
|----------|------|-----|
| npm1     |      |     |
| neg      | 318  | 70% |
| pos      | 139  | 30% |
| evi1     |      |     |
| neg      | 426  | 93% |
| pos      | 31   | 7%  |
| n_ras    |      |     |
| neg      | 411  | 90% |
| pos      | 45   | 10% |
| 1 N      | IA   |     |
| Flt3_tkd |      |     |
| neg      | 407  | 89% |
| pos      | 50   | 11% |
| Cebpa    |      |     |
| neg      | 422  | 92% |
| pos      | 31   | 7%  |
| 4 N      | IA   |     |
| Flt3_itd |      |     |
| neg      | 333  | 73% |
| pos      | 124  | 27% |
| K_ras    |      |     |
| neg      | 453  | 99% |
| pos      | 4    | 1%  |
| ldh1     |      |     |
| neg      | 420  | 92% |
| pos      | 34   | 7%  |
| 3 N      |      |     |
| ldh2     |      |     |
| neg      | 417  | 91% |
| pos      | 37   | 8%  |
| 3 N      |      |     |

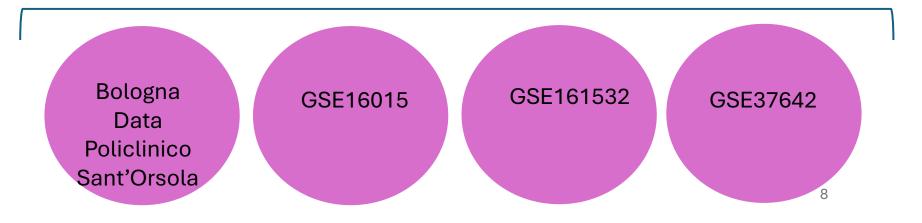




#### Internal dataset

# Test set (30%)

#### **External dataset**



207 candidates genes

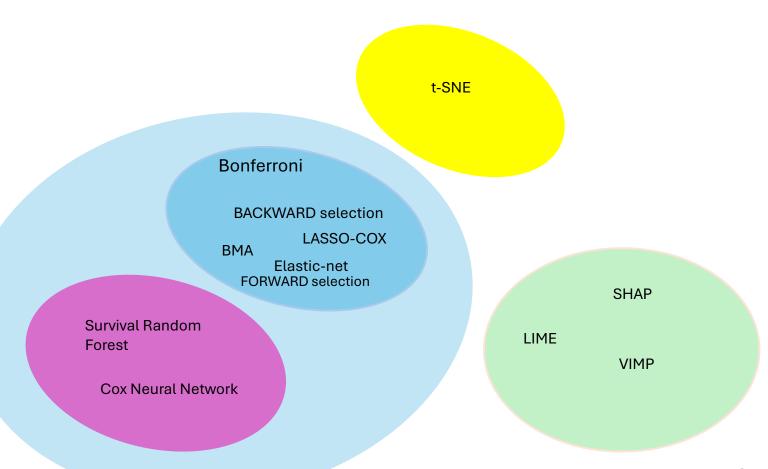
Supervised

White-box

Black-box

XAI

Unsupervised



C-Index (Concordance Index) measures the discriminative ability of a survival model.

Integrated Brier Score (IBS) evaluates the overall predictive accuracy over time.

## **Evaluation metrics**

$$ext{C-index} = rac{\sum_{i,j} 1_{T_j < T_i} \cdot 1_{\eta_j > \eta_i} \cdot \delta_j}{\sum_{i,j} 1_{T_j < T_i} \cdot \delta_j} egin{align*} \eta_i ext{, the risk score of a unit } i \ & 1_{T_j < T_i} = 1 ext{ if } T_j < T_i ext{ else } 0 \ & 1_{\eta_j > \eta_i} = 1 ext{ if } \eta_j > \eta_i ext{ else } 0 \end{aligned}$$

$$egin{align} ext{IBS}(t_{ ext{max}}) &= rac{1}{t_{ ext{max}}} \int_0^{t_{ ext{max}}} BS(t) dt \ BS(t) &= rac{1}{N} \sum_{i=1}^N \left( rac{\left(0 - \hat{S}(t, ec{x}_i)
ight)^2 \cdot 1_{T_i \leq t, \delta_i = 1}}{\hat{G}(T_i^-)} + rac{\left(1 - \hat{S}(t, ec{x}_i)
ight)^2 \cdot 1_{T_i > t}}{\hat{G}(t)} 
ight) \end{aligned}$$

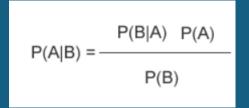
| <b>Bonferron</b> |           | <b>Elastic-</b> |           |                 |           |           |
|------------------|-----------|-----------------|-----------|-----------------|-----------|-----------|
| i                | LASSO     | net             | Forward   | <b>Backward</b> | SRF       | BMA       |
| CA13             | CA13      | CA13            | CA13      | ВСНЕ            | CA13      | CA13      |
| CDCP1            | CDCP1     | CDCP1           | TEDC2_AS1 | KRT8            | CDCP1     | TMEM79    |
|                  | TEDC2_AS1 |                 | CDCP1     | MADCAM1         | TEDC2_AS1 | ZGLP1     |
|                  | TMEM79    |                 | PRRG1     | PROCR           |           | ZNF311    |
|                  |           |                 | ZGLP1     | PTHLH           |           | TEDC2_AS1 |
|                  |           |                 |           | EDC2_AS1        |           | CDCP1     |
|                  |           |                 |           | CDCP1           |           | PRRG1     |
|                  |           |                 |           | HEY2            |           |           |
|                  |           |                 |           | TMEM79          |           |           |

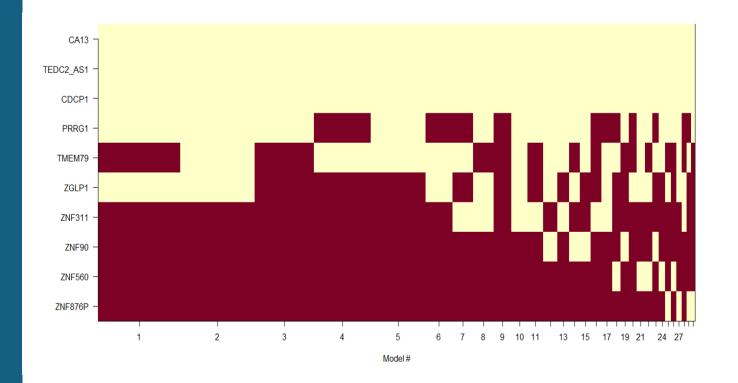
| White-box:<br>Supervised |   |
|--------------------------|---|
| Bonferroni               | adjustment for multiple comparisons                         |
| LASSO-COX                | L1 regularitation   |
| Elastic-net              | L1 regularitation and alpha                                 |
| BACKWARD selection       | It starts with all variables and removes them one at a time |
| FORWARD selection        | It starts with no variables and adds them one at a time     |
| BMA                      | Bayesian Approach   |



#### White-box: Supervised – BMA (Bayesian Model Averaging)

#### Bayesian Approach



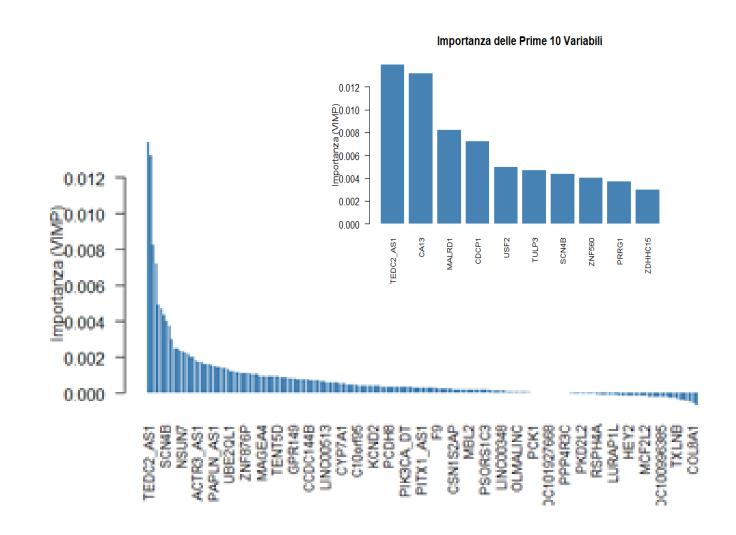


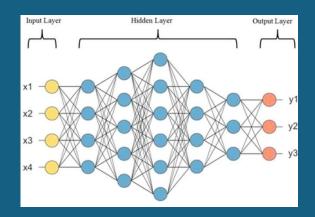
BMA
CA13
TMEM79
ZGLP1
ZNF311
TEDC2\_AS1
CDCP1
PRRG1

# Black-box: Supervised – SRF: Survival Random Forest

### **Parameter Tuning**

- Mtry
- Nodesize
- Ntree

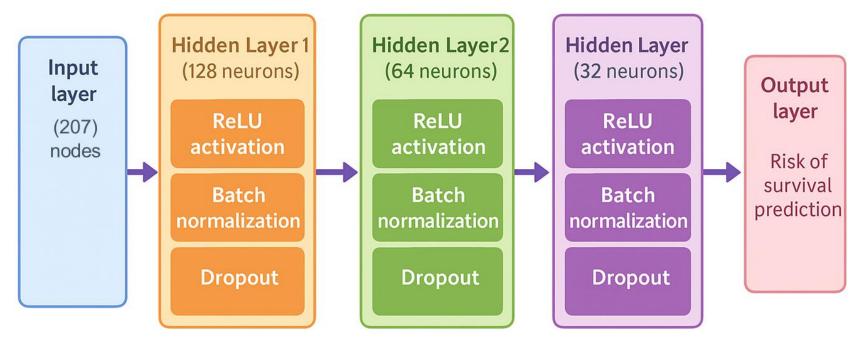


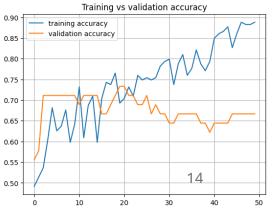


- Activation function: ReLu - sigmoid
- Batch Normalization: yes
- **Dropout: 30%**
- Convergence Algorithm: ADAM
- Weight initialization:
   He method
- Loss function: Accuracy

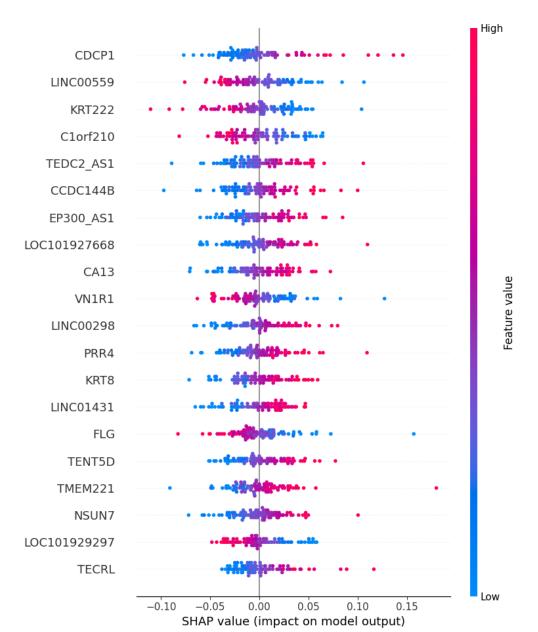


# Black-box: Supervised – DeepSurv Cox Neural Network









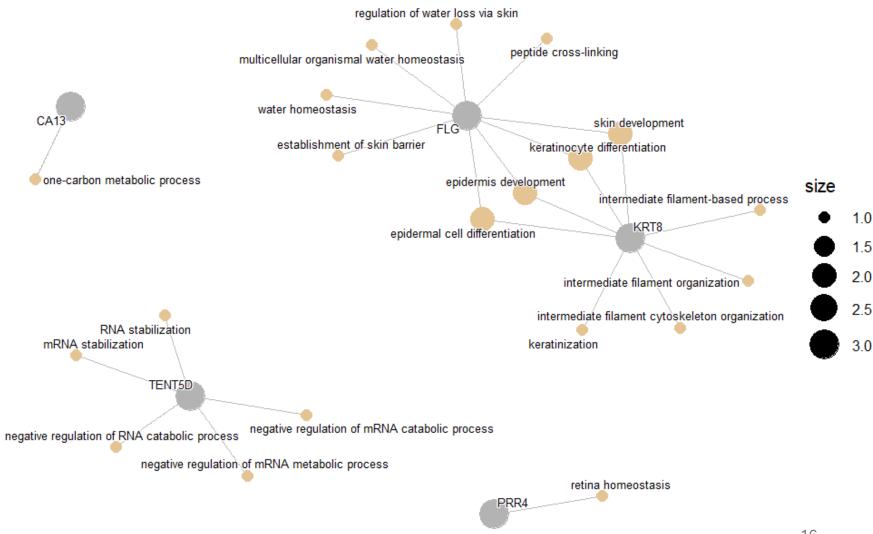
In a SHAP summary plot, each point represents an individual instance's SHAP value for a specific feature.

The color of the point indicates the original value of that feature: red denotes high feature values blue signifies low feature values.

Gene Ontology analysis of genes selected by the Cox Neural Network

Biological Process (BP)
Molecular Function (MF)
Cellular Component (CC)

#### **BIOLOGICAL PROCESS**



Gene Ontology
analysis of genes
selected by the
Cox Neural
Network

Biological Process (BP)
Molecular Function (MF)
Cellular Component (CC)

#### **MOLECULAR FUNCTION**



Gene Ontology
analysis of genes
selected by the
Cox Neural
Network

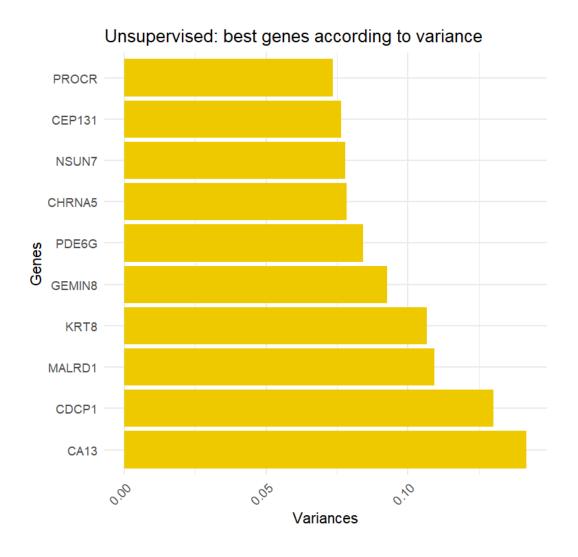
Biological Process (BP)
Molecular Function (MF)
Cellular Component (CC)

#### **COMPONENT CELLULAR**



t-SNE (t-Distributed Stochastic Neighbor Embedding) is a method based on variance for each feature





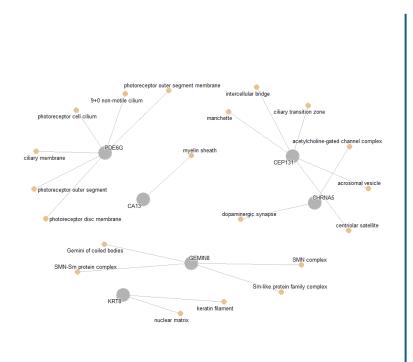
Unsupervised Learning: t-SNE

t-SNE (t-Distributed Stochastic
Neighbor Embedding)
is a powerful tool for visualizing
high-dimensional data.
It is widely used in data science
and machine learning for its
ability to reduce dimensions
while preserving the local
structure of the data.

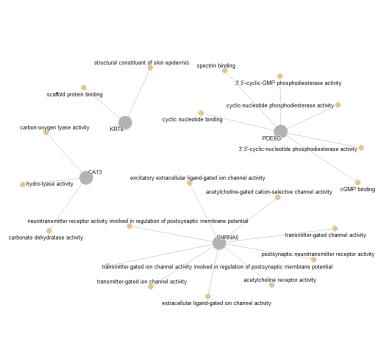


### Gene Ontology analysis of genes selected by the t-SNE

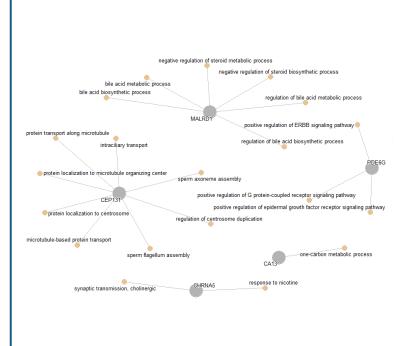
#### **Biological Process**



#### **Molecular Function**



#### **Component Cellular**



Bologna Data Policlinico Sant'Orsola

## **External dataset**

# 74 patients # 36619 raw RNA-seq samples

RNA-seq data normalization performed with  ${\bf edgeR}$ 

# 74 patients # 22681 raw RNA-seq samples

Bologna Dati Policlinico Sant'Orsola

# Event: 40

# Median fu: 17[6-29] months

**External dataset** 

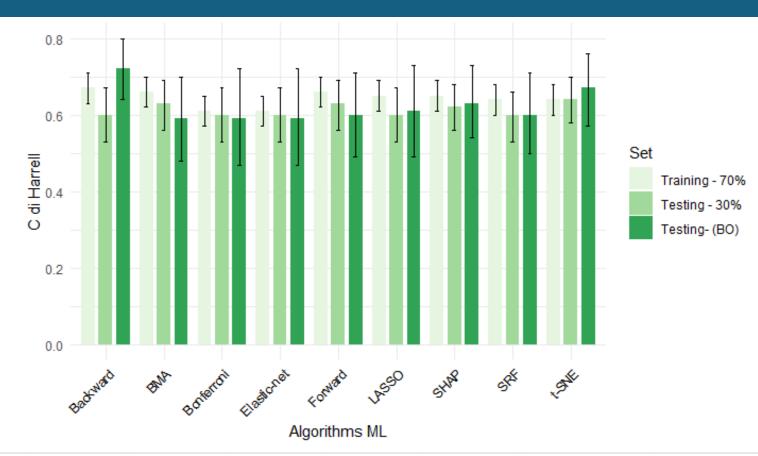
| Clinic variables | N     | %         |  |  |  |  |
|------------------|-------|-----------|--|--|--|--|
| Ger              | nder  |           |  |  |  |  |
| F                | 28    | 37.90%    |  |  |  |  |
| М                | 46    | 62.10%    |  |  |  |  |
| Age,median[Q1-Q3 | 65[23 | 65[23-92] |  |  |  |  |
| Ri               | sk    |           |  |  |  |  |
| HIGH             | 22    |           |  |  |  |  |
| INTERMEDIATE     | 24    |           |  |  |  |  |
| LOW              | 23    |           |  |  |  |  |

| N4-      | .tatian |     |            |
|----------|---------|-----|------------|
|          | ıtation |     |            |
| npm1     |         |     |            |
| neg      |         | 38  | 58%        |
| pos      |         | 28  | 42%        |
| ••       | 8 NA    |     |            |
| evi1     |         | 00  | E 707      |
| neg      |         | 32  | 57%        |
| pos      | 42 NA   |     |            |
| n_ras    | 42 NA   |     |            |
| n_ras    |         | 10  | 63%        |
| pos      |         | 6   | 38%        |
| 700      | 58 NA   | Ü   | 0070       |
| Flt3_tkd |         |     |            |
| neg      |         | 29  | 91%        |
| pos      |         | 3   | 9%         |
|          | 42 NA   |     |            |
| Cebpa    |         |     |            |
| neg      |         | 30  | 40%        |
| pos      |         | -   | -          |
|          | 44 NA   |     |            |
| Flt3_itd |         |     |            |
| neg      |         | 26  | 81%        |
| pos      |         | 6   | 19%        |
| V ***    | 42 NA   |     |            |
| K_ras    |         | 14  | 000/       |
| neg      |         | 2   | 88%<br>12% |
| pos      | 58 NA   | 2   | 1290       |
| ldh1     | OUNA    |     |            |
| neg      |         | 28  | 93%        |
| pos      |         | 2   | 7%         |
| PCC      | 44 NA   |     |            |
| ldh2     |         |     |            |
| neg      |         | 28  | 93%        |
| pos      |         | 2   | 7%         |
|          | 44 NA   |     |            |
| TP53     |         |     |            |
| neg      |         | 21  | 81%        |
| pos      |         | 253 | 19%        |
|          | 48 NA   |     |            |

27 relapse

## METRICS: C di HARRELL

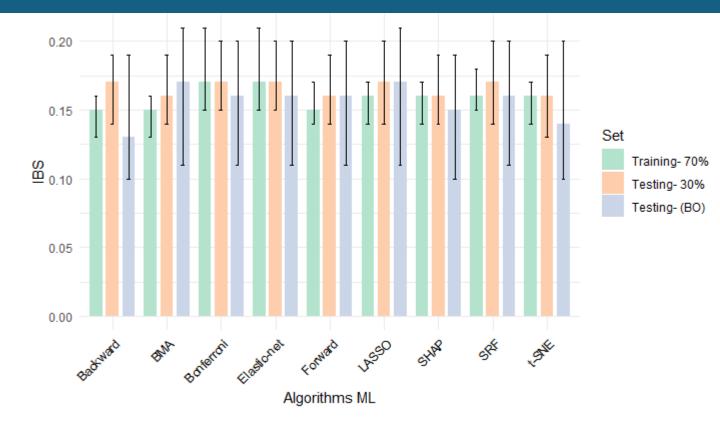
Higher is better



| C di Harrell      |     |          |                 |                 |                 |                 |                 |                 |                  |                 |                 |
|-------------------|-----|----------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|------------------|-----------------|-----------------|
| SET               | N   | # eventi | Bonferroni      | LASSO           | Elastic-net     | Forward         | Backward        | SRF             | ВМА              | SHAP            | t-SNE           |
| Training 70%      | 321 | 203      | 0.61[0.57-0.65] | 0.65[0.61-0.69] | 0.61[0.57-0.65] | 0.66[0.62-0.70] | 0.67[0.63-0.71] | 0.64[0.60-0.68] | 0.66[0.62- 0.70] | 0.65[0.61-0.69] | 0.64[0.60-0.68] |
| Validation 30%    | 136 | 87       | 0.60[0.53-0.67] | 0.60[0.53-0.67] | 0.60[0.53-0.67] | 0.63[0.56-0.69] | 0.60[0.53-0.67] | 0.60[0.53-0.66] | 0.63[0.56-0.69]  | 0.62[0.56-0.68] | 0.64[0.58-0.70] |
| Testing - Bologna | 68  | 36       | 0.59[0.47-0.72] | 0.61[0.49-0.73] | 0.59[0.47-0.72] | 0.60[0.49-0.71] | 0.72[0.64-0.80] | 0.60[0.50-0.71] | 0.59[0.48-0.70]  | 0.63[0.54-0.73] | 0267[0.57-0.76] |

## **METRICS: IBS**

Lower is better

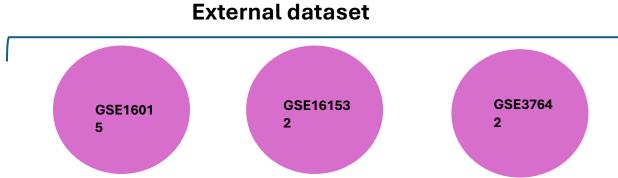


|                   | IBS |          |                 |                 |                 |                 |                 |                 |                 |                 |                 |
|-------------------|-----|----------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| SET               | N   | # eventi | Bonferroni      | LASSO           | Elastic-net     | Forward         | Backward        | SRF             | BMA             | SHAP            | t-SNE           |
| Training 70%      | 321 | 203      | 0.17[0.15-0.21] | 0.16[0.14-0.17] | 0.17[0.15-0.21] | 0.15[0.14-0.17] | 0.15[0.13-0.16] | 0.16[0.15-0.18] | 0.15[0.13-0.16] | 0.16[0.14-0.17] | 0.16[0.14-0.17] |
| Validation 30%    | 136 | 87       | 0.17[0.15-0.20] | 0.17[0.14-0.20] | 0.17[0.15-0.20] | 0.16[0.14-0.19] | 0.17[0.14-0.19] | 0.17[0.14-0.20] | 0.16[0.14-0.19] | 0.16[0.14-0.19] | 0.16[0.13-0.19] |
| Testing - Bologna | 68  | 36       | 0.16[0.11-0.20] | 0.17[0.11-0.21] | 0.16[0.11-0.20] | 0.16[0.11-0.20] | 0.13[0.1-0.19]  | 0.16[0.11-0.20] | 0.17[0.11-0.21] | 0.15[0.10-0.19] | 0.14[0.10-0.20] |

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# NEXTS STEP

1. Validating the identified signature(s) on external datasets



2. Repeat all the previous analyses for the logistic outcome related to risk stratification